

**FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019**

<b>Number</b>	<b>Date Initiated</b>	<b>Quarterly Complaint Description</b>	<b>Current Status</b>	<b>Disposition</b>	<b>Board Referral (Y/N)</b>
20-0056-P Cebrzynski	07Jan2020	Allegation that a District supervisor solicited and accepted gifts from subordinates.	Closed - Pending Collection	On July 11, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0055-P	13Dec2019	Allegation that employees are misusing confidential information.	Open		N
20-0054-P	16Dec2019	Allegation that employees are misusing government resources.	Open		N
20-0053-P	28Dec2019	Allegation that employee has a conflict of interest	Open		N
20-0052-P	24Dec2019	Allegation that an employee has a financial conflict of interest	Open		N
20-0051-P	18Dec2019	Allegation that that employees committed multiple ethical violations	Open		N
20-0050-P	22Oct2019	Allegations that a District manager improperly manipulated the procurement process to provide preferential treatment to certain individuals and companies.	Open		N
20-0048-P	17Dec2019	Allegation that an employee solicited gifts	Open		N

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20-0047-P	17Dec2019	Allegation that an employee has a financial conflict of interest	Open		N
20-0046-P	17Dec2019	Allegations that an employee has a financial conflict of interest	Open		N
20-0045-P	11Dec2019	Allegations that a District supervisor allowed a subordinate to receive improper financial benefits by bypassing established procedures.	Closed FINAL	On December 19, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	N
20-0044-P	18Nov2019	Allegations that a District employee authorized a request for payment to a private organization without a valid contract or purchase order in place.	Open		N
20-0043-P	18Nov2019	Allegations that two District employees have engaged in residency fraud.	Stayed - OAG False Claims Act Case		N
20-0042-P	18Nov2019	Allegations that a District employee misused government resources for unauthorized purposes.	Open		N
20-0041-P	18Nov2019	Allegations that a District supervisor committed multiple ethical violations, including the submission of a Financial Disclosure Statement that contained false and misleading information.	Closed FINAL		N

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20-0040-P	18Nov2019	Allegations that a District employee is using the employee's official position or title to benefit the employee's personal financial interests.	Closed FINAL		N
20-0039-P	06Nov2019	Complaint alleging that a District employee misused government resources by using a pCard to pay for personal expenses.	Open		N
20-0038-P	06Nov2019	Allegation that an employee has a conflict of interest and misuses government resources	Open		N
20-0037-P Alexander	05Nov2019	Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization.	Closed - Pending Collection	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0036-P	05Nov2019	Allegations that two former District employees misused their official positions and government property for their own financial benefit.	Stayed - OAG False Claims Act Case		N
20-0035-P	04Nov2019	Allegations that a District employee owns an outside business that constitutes an improper conflict of interest.	Closed FINAL		N
20-0034-P	22Oct2019	Allegations that a District employee submitted mileage reimbursement requests to the employee's agency that were falsely inflated.	Closed FINAL		N

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20-0033-P	22Oct2019	Allegations that a senior District manager provided preferential treatment to a private individual.	Closed FINAL (Referred to Other Agency)		N
20-0032-P	22Oct2019	Allegations that a District manager is receiving kickbacks from a District contractor.	Closed FINAL (Referred to Other Agency)		N
20-0031-P	21Oct2019	Allegations that a District employee has misused government resources.	Closed FINAL		N
20-0030-P	21Oct2019	Allegations that a District official improperly communicated with businesses and the media on issues being deliberated by the District entity on which the official served, and attempted to destroy official government records.	Open - Show Cause Hearing		N
20-0029-P	21Oct2019	Two District employees allegedly defrauded the District by submitting false documentation indicating their children were District residents entitled to attend a District charter school tuition-free when their children actually lived in Maryland with their parents.	Closed FINAL		N
20-0028-P	17Oct2019	Allegations that a District official failed to disclose financial conflicts of interest and used the official's position for personal financial gain.	Closed FINAL		N
20-0027-P	16Oct2019	Allegations that a District employee engaged in outside employment that conflicted with his official duties and	Open		N

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		responsibilities.			
N20-0026-P	10Oct2019	Allegations that a District employee defrauded the District by submitting false documentation indicating the employee's children were District residents entitled to attend a District charter school tuition-free when those children actually lived outside the District.	Stayed - OAG False Claims Act Case		N
2N0-0025-P Giles	10Oct2019	Complaint alleging that a former District employee has violated the District's post-employment restrictions.	Closed FINAL	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0N024-P	09Oct2019	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Stayed - OIG Criminal Investigation		N
20-00N23-P	09Oct2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open - Show Cause Hearing		N
20-002N2-P	03Oct2019	Allegations that a District official's outside position of employment constitutes an improper conflict of interest.	Closed FINAL		N
20-0021-P	01Oct2019	Allegations that a former District employee has violated the District's post-employment restrictions	Open		N

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20-0020-P	01Oct2019	Allegations that a District official has misused government resources.	Closed FINAL	On December 16, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	N
20-0019-P	01Oct2019	Allegations that an organization is engaging in unregistered lobbying activity.	Open		N
20-0018-P	01Oct2019	Allegations that a District employee has received improper financial benefits by bypassing established procedures.	Open		N
20-0016-P	01Oct2019	Allegations that a District employee entered into an agreement with a contractor without approval and improperly steered a contract to a favored vendor.	Open		N
20-0011-P	01Oct2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open - Show Cause Hearing		N
20-0010-P Brock	01Oct2019	Allegations that a District employee misused government property by failing to return a Fleet Share vehicle before leaving town for a work trip.	Closed - Pending Collection	On December 31, 2019, the Director of Government Ethics imposed a \$2500 ministerial fine	N

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				on the Respondent.	
20-0004-P Goldstein	01Oct2019	Allegations that a former District employee has violated the District's post-employment restrictions	Closed FINAL	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0002-P [REDACTED]	01Oct2019	Allegations that a District employee used his position or title in a manner that the employee knew was likely to have a direct and predicable effect on the employee's own financial interests.		On November 5, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	
20-0001-P	01Oct2019	Allegations that a District employee used information that is not available to the public for a non-governmental purpose.	Closed - Pending Collection	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	

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19-0019-P	30Sep2019	Allegations that a District manager improperly pressured a District contractor to select a particular subcontractor to work on a project for the District manager's agency.	Open		
19-0018-P	30Sep2019	Allegations that a District manager instructed a District contractor to perform personal services unrelated to official government business during regular work hours.	Open		
19-0017-P	30Aug2019	Allegations that a District employee misused government resources by performing personal services unrelated to official government business during regular work hours.	Open - Negotiations		
19-0016-P	27Aug2019	Allegations that a District employee used government time and/or resources for unauthorized purposes, and failed to disclose outside business interests on the employee's Financial Disclosure Form.	Open - Show Cause Hearing		
19-0013-P Butler	06Aug2019	Allegations that a District employee forged an HR employee's signature on an insurance verification of employment.	Closed - Pending Collection	On November 18, 2019, the Director of Government Ethics imposed a ministerial fine of \$3000 on the Respondent.	
19-0012-P	06Aug2019	Allegations that a District employee failed to fully cooperate with a BEGA preliminary investigation.	Open - Show Cause Hearing		



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19-0011-P Evans	01Jul2019	Allegations that a District official attempted to use the prestige of his office for personal gain, and misused government resources by ordering, directing or requesting a subordinate perform personal services unrelated to official government business during regular work hours.	Closed - Pending Collection	On August 8, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	
19-0010-P	26Jun2019	Allegations that senior staff in a District agency directed District employees to manipulate a procurement process for improper purposes.	Open		
19-0008-P	02Apr2019	Preliminary Investigation into allegations that an employee committed time and attendance fraud, and engaged in impermissible outside employment.	Stayed - OIG Criminal Investigation		
19-0007-P	02Apr2019	Complaint alleging that public officials have ignored a lawful request to turn over District government financial records and refused to do so.	Stayed - OIG Criminal Investigation		
18-0038-P Ellis	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Closed - Pending Collection	On October 18, 2019, the Director of Government Ethics imposed a ministerial fine of \$5000 on the	

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				Respondent	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Stayed - OIG Criminal Investigation		
18-0018-P Wube	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee, and that the employee failed to submit a complete and accurate Financial Disclosure Statement for Calendar Year 2017.	Closed - Pending Collection	On November 7, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	
18-0006-P Evans	22Jan2018	Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest.	Stayed - OIG Criminal Investigation		
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm.	Open		
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Criminal Investigation		
17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple	Open		

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		ethics laws and is abusing power.			
17-0001-P Guandique	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Closed - Pending Collection	On December 4, 2019, the Director of Government Ethics imposed a ministerial fine of \$2000 on the Respondent.	
20-0004-F	04Nov2019	Formal complaint alleging a District official violated the Code of Conduct by personally participating in matters in which the official had a personal interest.	Open		Y
20-0003-F	21Oct2019	Allegation that an employee has misused government resources.	Open		Y
20-0002-F	02Oct2019	Allegations that a District employee violated the nepotism rules.	Open		Y
20-0001-F Lyde- Lancaster	02Oct2019	Allegations that a District employee has hired and daily supervises several relatives in violation of the District's anti-nepotism rules.	Closed – Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on February 6, 2020.	Y

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19-0011-F	03Sep2019	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Criminal Investigation		
19-0010-F	03Sep2019	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Criminal Investigation		
19-0009-F	22Aug2019	Formal investigation into allegations that a District employee violated the District's whistleblower protection rules.	Closed FINAL	The Ethics Board dismissed this matter on November 7, 2019	Y
19-0008-F Wyche	09Jul2019	Formal Investigation into allegations that an employee is soliciting business from companies that do business with their agency.	Closed -- Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on January 9, 2020.	Y
19-0007-F	03Jul2019	A District employee is accused of violating standard agency procedures after an individual filed a complaint against one of the Respondent's relatives or close friends of the	Closed FINAL	The Ethics Board dismissed this matter on	Y

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		Respondent.		October 7, 2019.	
19-0003-F Crosswhite	09Jan2019	Preliminary investigation into allegations that an employee approved overtime pay for a relative.	Closed - Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on October 3, 2019.	Y
19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case		
18-0008-F	25Jun2018	Formal investigation into allegations that a DCPS employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case		
16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case		
16-0002-F	11Nov2015	Preliminary Investigation into allegations that an employee had a family member working under them during the summer.	Closed Pending Appeal		