

BEGA
Quarterly CMTS Cases Summary Report
For the period July 1, 2021 thru September 30, 2021 (Quarter Four FY2021)

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|----------------------------------|----------------|--|-----------------------------|---|----------------------|
| Closed Pending Collection | | | | | |
| 21-0070-P | 08/23/21 | Allegations that an employee engaged in a nail painting and cosmetic business while on duty. | Closed - Pending Collection | The Board approved a negotiated disposition which includes a \$2,500 fine for violating DPM 1807.1(b). | N |
| 21-0059-P | 07/27/21 | Allegation that an employee has a conflict of interest using government resources for person gain. | Closed - Pending Collection | The Board Approved a negotiated disposition which includes \$2,000 fine, payment to commence January 1, 2022. | N |
| 21-0043-P | 05/06/21 | Alleged misuse of Government property for personal use. | Closed - Pending Collection | The Board approved a Negotiated Disposition in which Respondent agrees to pay a \$500 fine. | N |
| 20-0056-P | 01/07/20 | Allegation that a District supervisor solicited and accepted gifts from one of the supervisor's subordinates. | Closed - Pending Collection | Negotiated Disposition on 7/9/2019 in the amount of \$3,000. | N |
| 20-0047-P | 12/17/19 | Allegations that a potential conflict of interest was self-disclosed by the employee in question and the employee has since been removed from the project to avoid any further appearance of a conflict. | Closed - Pending Collection | On May 05, 2021 the Respondent agreed to pay fine of \$500 immediately upon execution on the Negotiated Disposition. | N |
| 20-0037-P | 02/22/19 | Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization. | Closed - Pending Collection | On December 5, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$1,000 fine. | N |
| 20-0023-P | 10/09/19 | Investigation into allegations two former public officials used District property for unauthorized purposes. | Closed - Pending Collection | Per Ministerial fine order dated October 7, 2020 it was ordered the respondent pay fine of \$5,000. | N |
| 20-0011-P | 10/01/19 | Investigation into allegations two former public officials used District property for unauthorized purposes. | Closed - Pending Collection | Per ministerial fine order dated October 27, 2020 it was ordered that respondent pay fine of \$4,000. | N |
| 20-0010-P | 10/01/19 | Allegations that a District employee misused government property by failing to return a Fleet Share vehicle before leaving town for a work trip. | Closed - Pending Collection | Per Negotiated Disposition dated December 31, 2019 approved by the BEGA Director the Respondent agreed to pay fine of \$2,500. | N |
| 19-0013-P | 08/06/19 | Allegations that a District employee forged an HR employee's signature on an insurance verification of employment. | Closed - Pending Collection | On December 18, 2019 the Respondent agreed to negotiated disposition to pay ministerial fine of \$3,000. | N |
| 19-0003-P | 10/30/18 | Allegations that a District employee improperly used their position for the financial benefit of a relative or household member. | Closed - Pending Collection | The Office opened a preliminary investigation (19-0007-C) into these allegations and on 12/18/2018, the Ethics Board approved a negotiated disposition imposing a \$500 fine against Respondent, for use of public office for private gain. | N |
| 18-0038-P | 09/20/18 | Preliminary Investigation into allegations that employee used public office for private gain. | Closed - Pending Collection | On October 18, 2019, the Director of Government Ethics imposed a \$5,000 ministerial fine on the Respondent for using his official position in a manner that the Respondent knew would have a direct and predictable effect on his financial interests. | N |
| 18-0032-P | 07/02/18 | Preliminary investigation into allegations an employee failed to disclose his outside business on his confidential financial disclosure statements. | Closed - Pending Collection | On 11/1/2018, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$5,000 fine. | N |
| 18-0007-P | 01/31/18 | Preliminary Investigation into allegations that an employee took a check that was intended to be deposited into a government account, and deposited the check into their personal bank account. | Closed - Pending Collection | On 3/19/2018, the Ethics Board approved a negotiated disposition imposing a \$6,000 fine for use of public office for private gain and misuse of government property. | N |
| 17-0034-P | 09/20/17 | Preliminary Investigation into allegations that an employee was wrongfully compensated for falsely claiming injuries from an alleged assault that occurred while they were on duty. | Closed - Pending Collection | On July 3, 2019, the Director of Government Ethics imposed a \$4,000 ministerial fine on the Respondent for failing to protect and conserve the District government's property, and for obstructing an investigation conducted by a District agency. | N |
| 17-0030-P | 08/11/17 | Formal Investigation into allegations that an employee failed to disclose outside employment as required. | Closed - Pending Collection | On 12/18/2018, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$2,750 fine. | N |
| 17-0027-P | 07/14/17 | Preliminary Investigation into allegations that an employee misused a government fleetshare vehicle. | Closed - Pending Collection | On 11/9/2017, the Ethics Board approved a negotiated disposition imposing a \$5,000 fine upon Respondent for misuse of a government vehicle. | N |
| 17-0001-P | 10/13/16 | Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source. | Closed - Pending Collection | On December 27, 2019 OGE Director, Imposed \$2,000 ministerial fine and ordered Respondent to pay OGE an additional \$180.00 as reimbursement for the service of process expenses we incurred, and dated the Order to be sent via regular and certified mail to the Respondent on 12/31/2019. | N |
| 16-0026-P | 02/08/16 | Preliminary investigation into allegations that a District employee misused a government vehicle. | Closed - Pending Collection | On 12/18/2015, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$500 fine. | N |
| 16-0012-P | 01/12/16 | Preliminary investigation into allegations that agency employees received gifts from a prohibited source | Closed - Pending Collection | On 6/11/2019, the Director of Government Ethics imposed a \$2,500 ministerial fine on the Respondent for accepting a gift from a prohibited source and for failing to protect and conserve government property. | N |

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|---------------------|----------------|--|-----------------------------|---|----------------------|
| 14-0018-P | 04/29/14 | Allegations that a District government employee engaged in a conflict of interest by working for own company and perform inspection of properties the respondent has created designs for while working for the District in DCRA as Construction Inspector. | Closed - Pending Collection | On May 3, 2015 the Respondent agrees to pay the \$10,000 fine in payments as follows. The first payment, in the amount of \$850 will be made by close of business on May 11, 2015. The remaining \$9,150, shall be paid as follows \$850, from June 2015 April, 2016. | N |
| 19-0008-F | 07/09/19 | Formal Investigation into allegations that an employee is soliciting business from companies that do business with their agency. | Closed - Pending Collection | Respondent agrees to satisfy \$2,000.00 fine , on or before February 27, 2020, by making payment of \$500 at each pay period. | Y |
| 19-0003-F | 01/09/19 | Preliminary investigation into allegations that an employee approved overtime pay for a relative. | Closed - Pending Collection | On October 3, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$3,000 fine. | Y |
| 13-0012-F | 06/11/13 | Investigation concerning a former Councilmember who contacted District government agencies on behalf of a private entity in exchange for money. Guilty plea in U.S. District Court. | Closed - Pending Collection | On 6/1/15, the Ethics Board approved a Negotiated Disposition with a \$12,000 fine, 200 hours of community service, and 12 live, in-person presentations. | Y |
| 13-0003-F | 01/16/13 | DC government employee negotiated for a job with a vendor, and after leaving government service, appeared before his agency on behalf of the vendor, his current employer. | Closed - Pending Collection | On 2/6/2013, the Ethics Board issued findings of fact and conclusions of law, as well as its final order. The Ethics Board found that Respondent violated five sections of the District Code of Conduct and fined the Respondent \$20,000. On 1/8/2019, the Superior Court affirmed the Board's ruling and directed the Respondent to pay the \$20,000 fine within 60 days or face additional sanctions. 5/28/2019 update: On May 28th, OAG informed us that Mr. Hicks contacted them and agreed to a payment plan. Under the plan, he should complete payment of his fines by September of 2021. | Y |
| Closed Final | | | | | |
| 18-0020-P | 05/23/18 | Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources. | Closed FINAL | Criminal prosecution was declined and there are no further matters that amount to an ethics violation that need to be investigated. | N |
| 19-0016-P | 08/27/19 | Allegations that a District employee used government time and/or resources for unauthorized purposes, and failed to disclose outside business interests on the employee's Financial Disclosure Form. | Closed FINAL | Per Negotiated Disposition dated April 10, 2020 approved by the BEGA Director the Respondent agreed to pay fine of \$600. | N |
| 20-0001-P | 10/01/19 | Allegations that a District employee used information that is not available to the public for a non-governmental purpose. | Closed FINAL | On December 5, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$500 fine. | N |
| 20-0002-F | 10/02/19 | Allegations that a District employee helped daughter and brother-in-law get jobs in the employee's agency, and used status and seniority to secure overtime hours and favorable treatment. | Closed FINAL | Respondent agrees to be publicly REPRIMANDED. Additionally. Respondent agrees not to engage in such conduct in the future, and to attend a full ethics training within six months of date of this disposition. | Y |
| 20-0024-P | 10/09/19 | Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 20-0095-P | 08/06/20 | Alleged conflict of Interest and interference with government contracting. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 20-0108-P | 09/30/20 | Allegations of conflict of interest committed by managers. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0004-P | 11/05/20 | Alleged use of Government Resources for personal gain, by employee of DC OSSE. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0020-P | 02/04/21 | Allegations that employees have violated the following basic employee obligation: § 1800.3 (h) Employees shall act impartially and not give preferential treatment to any private organization or individual. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0021-P | 02/04/21 | Allegation that two employees had preferential treatment in the rehiring of an employee on higher position which require residency, when the person was not resident of the District. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0023-P | 02/22/21 | Self-report on a possible conflict of interest with private entity that contracts with DC Government. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0027-P | 02/22/21 | to meet to address health hazards posed by the proposed McMillan Park redevelopment project. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0035-P | 03/30/21 | Subject allegedly is using his public position to maintain government housing without paying just rent. Subject should not be able to secure affordable housing due to his position within the District government. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0047-P | 05/06/21 | Allegation that a DC Commissioner has an ethical relation with a Developer. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0051-P | 06/30/21 | Allegations that employee exchanged sexual favors for personnel actions. | Closed FINAL | Dismissed for a lack of evidence. | N |

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|-----------|----------------|--|----------------|---|----------------------|
| 21-0052-C | 04/01/21 | Allegation of misuse of power and authority in abusing colleagues and work processes. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0056-P | 07/27/21 | Allegation that an employee received gift from customer in violation of the District code of ethics. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0061-P | 07/27/21 | Allegation that a public official has conflict of interest which is in violation of the District Ethics Code. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0069-P | 08/23/21 | Allegation that public officials engaged in a conflict of interest. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0079-C | 07/08/21 | Allegation that a DC Commissioner has made racial tweets towards a particular race. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0087-C | 07/23/21 | Allegations that employee exercised unprofessional behavior. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0089-C | 07/28/21 | Allegation that an employee is forcing undue personal relationship with a contractor working for the District. | Closed FINAL | Dismissed for lack of evidence. | N |
| 21-0090-C | 07/28/21 | Allegation that employee has attended a video call with inappropriate attire. | Closed FINAL | Dismissed for a lack of evidence. | N |
| 21-0091-C | 08/03/21 | Allegation that an employee of the District has been attacked by Ex-councilmember's people while working on a case relating to the Ex-councilmember. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0093-C | 08/09/21 | Alleged that an ANC has gross misuse of her position for personal attacks on constituents. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0094-C | 08/09/21 | Allegation that an ANC has booted constituents from meetings if she doesn't like their chats. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0097-C | 08/20/21 | Allegation that a DC employee entered in to renovation/construction work with out the proper license and refused to remedy faulty works. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0098-C | 08/20/21 | Allegations that a former employee violated the post-employment rules. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 19-0017-P | 08/30/19 | Allegations that a District employee misused government resources by performing personal services unrelated to official government business during regular work hours. | Closed FINAL | Per Negotiated Disposition dated September 04, 2019 approved by the BEGA Director the Respondent agreed to pay fine of \$2,000, fine to be paid in full on or before September 5, 2020. | N |
| 15-0003-P | 10/24/14 | Allegations that a District government employee engaged in a conflict of interest by working privately for an agency contractor. | Closed FINAL | On 4/2/2015, the Ethics Board approved a negotiated disposition requiring the Respondent to pay a fine of \$15,000. | N |
| 21-0104-C | 09/08/21 | Allegation that a supervisor at a DC Agency has personal relation with a female employee and has unlawfully terminated other employee because the person did like the employee. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0070-C | 06/15/21 | Alleged that a Councilmember did not file PUBLIC Financial Disclosure Statement due November 15th as required by the DC law. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0067-C | 06/10/21 | Alleged that DC Councilmember has used her position to send e-newsletter when they were not subscribed to her account. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0061-C | 05/18/21 | Alleged that DC Councilmember and Chief of Staff has abused their power during new employee hire. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0063-P | 07/27/21 | Allegation that employee has a business relationship with a company that has an active business relation with the District, which is in violation of District Ethics Code. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0101-P | 08/06/20 | Allegations that a former employee has started employment with a private security company as the "Director of Compliance and Professional Standards" where he is serving in a capacity directly related to his former District Government job. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0103-C | 09/08/21 | Allegation that a supervisor's conduct is unbecoming. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0072-P | 08/23/21 | Allegation that an employee of the District, represented other employee in a disciplinary action initiated by the D.C. Office of Disciplinary Counsel. | Closed FINAL | A nonpublic disposition was issued. | N |

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|-----------|----------------|--|----------------|---|----------------------|
| 21-0038-P | 03/30/21 | Alleged that an employee has violated several DPM Regulations as it pertains to hiring the most qualified applicant, | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0029-P | 03/03/21 | Allegation that an employee had their mother-in-law hired, which is violation the District Ethics rule. | Closed FINAL | Accordingly, BEGA issue this Nonpublic, Informal Admonition as a final resolution to this matter. The subject is required to attend ethics training provided by OGE within six (6) months of the date of this letter. | N |
| 20-0070-P | 03/26/20 | Allegation of supervisor giving gifts to and receive gifts from subordinates. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0058-P | 01/16/20 | An allegation that an employee gave multiple gifts to other employees. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0003-F | 11/05/20 | Allegation that a Lobbyist has violated D.C. Official Code 1-1162-.27 requiring all Lobbyists to register with this office and file the appropriate reports. | Closed FINAL | Dismissed for lack of the evidence. | Y |
| 20-0103-P | 08/06/20 | Alleged conflict of Interest due to involvement with private entity. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0073-P | 09/07/21 | Allegation that a GoFundMe account had been created mentioned in the name and position of a DC employee and employee collected the donated funds. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0048-P | 05/06/21 | Alleged that Board member used their District position for personal gain. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0045-P | 05/06/21 | Alleged that a State Board of Education member misused government social media by blocking several of his constituents, including myself, in contravention of established judicial precedent regarding blocking constituents on social media. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0033-P | 03/29/21 | Referral from Office of Inspector General alleging employee is working at Greene Funeral Home in Alexandria, Virginia, during regular District government tour of duty. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0076-P | 05/06/20 | Alleged conflict of interest. Respondents started a for profit company teaching students online. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0007-P | 11/05/20 | Allegations of a possible outside employment violation and a conflict of interest. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0064-P | 08/23/21 | Allegation that a manager hired their daughter. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0053-P | 06/30/21 | Allegations that an employee did not disclose outside job on CY2016 and CY2017 Financial Disclosure Statements. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0008-P | 11/05/20 | Allegations of a possible conflict of interest by a District employee during the hiring of another employee. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0005-P | 11/05/20 | Allegations of a possible conflict of interest by a District employee during the hiring process. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0107-P | 09/30/20 | Alleged Hatch Act violation by employee promoting political campaign. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0071-P | 03/26/20 | Allegation of a conflict of interest that contract activity may be directly benefit subject's wife. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0053-P | 01/06/20 | Alleged conflict of Interest and interference with government contracting. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0052-P | 06/30/21 | Allegations that a Councilmember has undue and unethical relation with a Hotel Chain where lobbyists use to host meetings. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 19-0011-P | 07/01/19 | Allegations that a District official attempted to use the prestige of his office for personal gain, and misused government resources by ordering, directing or requesting a subordinate perform personal services unrelated to official government business during regular work hours. | Closed FINAL | On 8/8/2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$20,000 fine. | N |

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|-------------|----------------|---|---|--|----------------------|
| 18-0006-P | 01/22/18 | Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest. | Closed FINAL | Per Negotiated Disposition dated May 22, 2020 approved by the BEGA Board the Respondent agreed to pay fine of \$35,000, of this fine \$2,000 to be paid on or before June 30, 2020. The remaining balance of the fine to be paid fully on or before June 30, 2021. | N |
| 21-0065-P | 08/23/21 | Allegations that reassigned employee failed to return government property. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0058-P | 07/27/21 | Allegation that organization where an employee's relatives works is getting grant from the District in violation of the District Ethics Code. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0010-P | 12/03/20 | Allegations of a possible conflict of interest by a District employee. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 20-0075-P | 05/06/20 | Allegation that an employee requested that a former District Government employee's badge be extended for 2 years, so that she would have access to DCRA to complete her work as an independent expeditor. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0049-P | 06/30/21 | Allegation that a Councilmember has conflict of interest for funding family foundation. | Closed FINAL | Dismissed for lack of the evidence. | N |
| 21-0022-P | 02/22/21 | OIG referral - Allegations of a possible outside employment violation | Closed FINAL (Consolidated with Prior Case) | Case is consolidates with case# 21-0034-P | N |
| 21-0037-P | 03/30/21 | Alleged Conflict of Interest due to involvement with private entity. | Closed FINAL (Consolidated with Prior Case) | Closed and Consolidated with case 21-0042P | N |
| 21-0039-P | 05/06/21 | Alleged Conflict of Interest due to involvement with private entity. | Closed FINAL (Consolidated with Prior Case) | Closed and Consolidated with case 21-0042P | N |
| 21-0040-P | 05/06/21 | Alleged Conflict of Interest due to involvement with private entity. | Closed FINAL (Consolidated with Prior Case) | Closed and Consolidated with case 21-0042P | N |
| 21-0041-P | 05/06/21 | Alleged Conflict of Interest due to involvement with private entity. | Closed FINAL (Consolidated with Prior Case) | Closed and Consolidated with case 21-0042P | N |
| 21-0075-P | 09/23/21 | Alleges that a DC employee works outside works while on tour of duty. | Closed FINAL (Consolidated with Prior Case) | Case is consolidates with case# 21-0034-P | N |
| 21-0082-P | 09/23/21 | Allegation that an employee of DC conducts outside job during tour of duty. | Closed FINAL (Consolidated with Prior Case) | The Board approved a negotiated disposition which includes a \$2,500 fine for violating DPM 1807.1(b). | N |
| 21-0081-P | 09/23/21 | Allegation that, a DC employee is utilizing his position within District Government to enrich himself in his personal realty business with the building and sale of property in the District. | Closed FINAL (Consolidated with Prior Case) | Case dismissed, consolidated with case #21-0077, this cases is still open. | N |
| 21-0068-P | 08/23/21 | Allegation that a DC official has un due and an unethical fund solicitation from the District developers. | Closed FINAL (Consolidated with Prior Case) | Case dismissed, consolidated with case #21-0057-P, this cases is still open. | N |
| 21-0032-P | 03/29/21 | Alleged that an employee hired an applicant where a qualified applicant was not hired. | Closed FINAL (Referred to Other Agency) | Case Closed, the case has been referred to OIG because it alleges possible hiring violations by a DC agency. | N |
| 21-0049-C | 03/26/21 | Allegation that a DC supervisor promotes that staff that are favored. | Closed FINAL (Referred to Other Agency) | Dismissed for lack of the evidence and referred to DCHR. | N |
| 21-0086-C | 07/21/21 | Allegation that there is a serious case of waste, fraud and abuse at a DC agency involving collusion and residency fraud. | Closed FINAL (Referred to Other Agency) | The case has been referred to OIG as the complaint alleges fraud, waste, and abuse by DC agency supervisors. | N |
| 21-0099-C | 08/20/21 | Allegations that an elected official committed Fraud. | Closed FINAL (Referred to Other Agency) | The case has been referred to OIG as the complaint alleges fraud, waste, and abuse by DC agency supervisors. | N |
| Open | | | | | |
| 22-0005-P | 11/03/21 | Allegation that a DC supervisor has committed overtime fraud. | Open | No disposition at this time. | N |
| 22-0002-P | 10/08/21 | Allegation that a DC high official engaged in fraud, waste, abuse, and mismanagement relating to the programs and operations of District government departments and agencies. | Open | No disposition at this time. | N |
| 21-0080-P | 09/23/21 | Allegation that, an employee is giving favorable overtime to some employees, also hide overtime from other employees in the agency. | Open | No disposition at this time. | N |

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|-----------|----------------|---|----------------|------------------------------|----------------------|
| 21-0079-P | 09/23/21 | Allegation that, a DC employee had conspired with realtor and dc govt employee, to skirt zoning laws and hide its application for a community residential living facility at a property. | Open | No disposition at this time. | N |
| 21-0078-P | 09/23/21 | Allegation that, an employee's spouse is a Director of Local Gov Affairs for Georgetown University (GU). GU has agreements with Medstar-Georgetown University Hospital (MGUH), such that MGUH pays GU millions of dollars a year, including with a gain-sharing agreement that requires some profits from MGUH go to GU. MGUH is a subsidiary of Medstar Health Inc. The subject has worked on particular matters directly benefiting Medstar Health, which has a direct and predictable benefit to GU, through the gain-sharing agreement. | Open | No disposition at this time. | N |
| 21-0077-P | 09/23/21 | Allegation that, an employee is using his position within the district government for enrichment in realty business and using government time and resources. | Open | No disposition at this time. | N |
| 21-0076-P | 09/23/21 | Allegation that, an employee's 2016 investigation substantiated that a former DC employee issued benefits to family and friends who were not eligible to receive such benefits. | Open | No disposition at this time. | N |
| 21-0074-P | 09/23/21 | Allegation that, a manager is issuing permits and reducing fees for certain individuals. | Open | No disposition at this time. | N |
| 21-0071-P | 08/23/21 | Allegation that, an employee has used position and influence to force a subordinates to hire (promote) another person into a position. | Open | No disposition at this time. | N |
| 21-0067-P | 08/23/21 | Allegation that a DC official has undue and unethical fund solicitation from the District developers. | Open | No disposition at this time. | N |
| 21-0066-P | 08/23/21 | Allegation that an employee approved a contract to a vendor, with direct knowledge that the subject's spouse would benefit, representing a potential financial conflict of interest. | Open | No disposition at this time. | N |
| 21-0062-P | 07/27/21 | Allegation that employee is running an out side employment during their of duty. | Open | No Disposition at this time. | N |
| 21-0060-P | 07/27/21 | Allegation that an employee used their position to unduly benefit relatives. | Open | No Disposition at this time. | N |
| 21-0057-P | 07/27/21 | Allegation that four 4C ANC term Commissioners unethically involved in solicitation of fund which is in violation of the District Ethics Code. | Open | No Disposition at this time. | N |
| 21-0055-P | 06/30/21 | Allegation that an employee used her position for personal financial gain. | Open | No Disposition at this time. | N |
| 21-0054-P | 06/30/21 | Allegation that an employee used her position for her financial gain and benefit her relatives. | Open | No Disposition at this time. | N |
| 21-0050-P | 06/30/21 | Alleged that employee did not file Public Financial Disclosure Statement for CY2019 and CY2020. | Open | No Disposition at this time. | N |
| 21-0046-P | 05/06/21 | Alleged misuse of government leased property. | Open | No Disposition at this time. | N |
| 21-0044-P | 05/06/21 | Alleged that an employee is working other job during the regular tour of duty. | Open | No Disposition at this time. | N |
| 21-0042-P | 05/06/21 | Allegation that a DC official used their position for personal financial gain from vendors and contractors exchange for favorable decisions. | Open | No disposition at this time. | N |
| 21-0034-P | 03/29/21 | Alleged that anemployee has real estate business where the person took contract on property and did not completed the work. | Open | No disposition at this time. | N |
| 21-0024-P | 02/22/21 | Allegation that an employee was hired by other private organization on same tour of duty with the District resulting in use of government resources for personal gain. | Open | No disposition at this time. | N |
| 21-0019-P | 02/04/21 | Allegation misuse of government issued property. | Open | No disposition at this time. | N |
| 21-0016-P | 01/05/21 | Outside party conducted lobbyist activity without being registered. | Open | | N |
| 21-0013-P | 01/05/21 | Allegations of a possible post-employment violation by a former District employee. | Open | No disposition at this time. | N |
| 20-0003-F | 10/21/19 | Allegations that an employee was working for a grantee in a formal capacity. | Open | No disposition at this time. | Y |
| 19-0011-F | 09/03/19 | Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source. | Open | No disposition at this time. | Y |
| 21-0109-C | 09/16/21 | Allegation that a DC Commissioner have abused their power in project evaluation. | Open | No Disposition at this time. | N |

| Number | Date Initiated | Quarterly Complaint Description | Current Status | Disposition | Board Referral (Y/N) |
|---------------|----------------|--|-------------------------------------|---------------------------------------|----------------------|
| Stayed | | | | | |
| 20-0043-P | 11/18/19 | Allegations that two District employees allegedly defrauded the District by submitting false documentation indicating their children were District residents entitled to attend a District charter school tuition-free when they children actually lived outside the District. | Stayed - OAG False Claims Act Case | Stayed- No disposition at this time. | N |
| 20-0036-P | 11/05/19 | Allegations that two former District employees misused their official positions and government property for their own financial benefit. | Stayed - OAG False Claims Act Case | Stayed- No disposition at this time. | N |
| 20-0026-P | 10/10/19 | Allegations that a District employee defrauded the District by submitting false documentation indicating the employee's children were District residents entitled to attend a District charter school tuition-free when those children actually lived outside the District. | Stayed - OAG False Claims Act Case | Stayed - No disposition at this time. | N |
| 21-0005-F | 06/15/21 | Allegation that a former employee, fraudulently received Paid Family Leave (PFL) hours while employed at DYRS. | Stayed - OAG False Claims Act Case | Stayed - No disposition at this time. | Y |
| 16-0019-F | 09/06/16 | Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700. | Stayed - OAG False Claims Act Case | Stayed- no disposition at this time. | Y |
| 20-0092-P | 07/17/20 | Allegation that construction inspector engaged in illegal conduct by performing inspections under the table and approving the inspections in the agency's system. | Stayed - OIG Criminal Investigation | Stayed- no disposition at this time. | N |
| 19-0008-P | 04/02/19 | Preliminary Investigation into allegations that an employee committed time and attendance fraud, and engaged in impermissible outside employment. | Stayed - OIG Criminal Investigation | Stayed- no disposition at this time. | N |
| 19-0007-P | 04/02/19 | Complaint alleging that public officials have ignored a lawful request to turn over District government financial records and refused to do so. | Stayed - OIG Criminal Investigation | Stayed- no disposition at this time. | N |
| 17-0020-P | 05/24/17 | Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing employees with travel reimbursements. | Stayed - OIG Criminal Investigation | Stayed- no disposition at this time. | N |
| 21-0002-F | 10/09/20 | Allegation that a DC employee abused power and acted dishonestly. | Stayed - OIG Criminal Investigation | Stayed - No disposition at this time. | Y |
| 21-0001-F | 10/09/20 | Allegations that inspectors did not inspect a property. | Stayed - OIG Criminal Investigation | Stayed- No disposition at this time. | Y |
| 19-0010-F | 09/03/19 | Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment. | Stayed - OIG Criminal Investigation | Stayed- no disposition at this time. | Y |
| 21-0031-P | 03/04/21 | The Office of Attorney General referred a case of tuition fraud by two employees over a period of 2014 through present. | Stayed - Other Court Case | Stayed- no disposition at this time. | N |
| 20-0093-P | 07/17/20 | Misuse of Government resources | Stayed - Other Court Case | Stayed - No disposition at this time. | N |
| 19-0001-F | 10/31/18 | Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District. | Stayed - US District Court Case | Stayed- no disposition at this time. | Y |
| 18-0008-F | 06/25/18 | Formal investigation into allegations that an employee assisted a contractor in defrauding the District government. | Stayed - US District Court Case | Stayed- no disposition at this time. | Y |